

Procedure for Complaints & Appeals

DAS Pakistan (Pvt.) Ltd.

Copyright reserved. This document is confidential and is the property of DAS Pakistan (Pvt.) Ltd. It is not to be loaned, copied or used, either in part or in its entirety without written approval of CEO DAS Pakistan.




ORIGINATOR: 	REVIEWED: 	APPROVED: 
--	--	--

Table of Contents

List of Copy Holders.....	3
1. Purpose:	4
2. Scope:.....	4
3. References:	4
4. Definitions:.....	4
5. Procedure:.....	4
6. Complaints against DAS Pakistan:.....	4
6.1 Complaints against DAS Certified Clients	5
7. Appeals Procedure:	6
8. Records:	7
9. Revision History:	7

List of Copy Holders

Sr. #	Designation
1	Chief Executive Officer
<u>2</u>	<i>Management Representative</i>
3	Deputy Management Representative
4	Manager Certification

1. Purpose:

To define the manner in which Complaints & appeals against DAS Pakistan and DAS Pakistan registered clients or sites are recorded and processed. All complaints will be dealt with locally.

2. Scope:

All appeals and complaints received by DAS Pakistan in relation to its recognised activities and certificated customers & all complaints against DAS Pakistan registered organisation/sites related to their activities.

3. References:

Clause 9.7 of ISO/IEC 17021-1:2015, Appeals
Clause 9.8 of ISO/IEC 17021-1:2015, Complaints

4. Definitions:

None

5. Procedure:

6. Complaints against DAS Pakistan:

Upon receipt of a written or verbal complaint via any mean, it shall be recorded on Form FMP05/01 Complaints/Appeals record and a file opened by MR/DMR. Any correspondence connected with the complaint shall be attached to this file. Complaints received will be analysed to determine the nature of the complaint and decide:

- Does the complaint relate to DAS Pakistan activities? If yes proceed to deal with the complaint.
- Does the complaint relate to DAS Pakistan certified client? If so an internal investigation will take place to determine the effectiveness of the DAS Pakistan certification process and what actions to take. The certified client will be informed of the complaint at an appropriate time for their consideration.

All verbal complaints will be requested in writing / email with any supporting documentation if necessary to the DAS Pakistan. All complaints will be acknowledged within 48 hours by email to the complainant by MR/DMR. Prior to a complaints panel being formulated DAS shall endeavor to obtain all necessary information (copy of reports, Team Leader responses in writing etc) to ensure the complaint can be sufficiently validated.

The complaints panel will be nominated by CEO, DAS Pakistan, consisting of 2 to 3 persons as required through email, they will jointly review the complaint and decide on the appropriate remedial action and the person(s) responsible for that action, which shall be recorded on Form FMP05/01.

When the remedial action has been satisfactorily completed the MR shall sign the close out on Form FMP05/01.

The complainant shall be informed in writing of the outcome or the ongoing situation within one month of receipt of the complaint by MR via email.

Generally all complaints shall be satisfactorily closed-out within two months of receipt of the original complaint being received.

In addition to the above, an unscheduled audit may be carried out if the complaint concerns a Supplier or Contractor.

At meetings of Impartiality Committee MR will present his report and a full and frank discussion will take place regarding all complaints / appeals received and again recommend any necessary corrective & preventive actions if required by the committee.

MR will forward on to all concerned improvement instructions via briefing notes or revised / new operating procedures subsequent to the outcome of impartiality committee meeting or at any other time he feels it is necessary.

Submission, investigation and decision on complaints shall not result in any discriminatory actions against the complainant by not putting the same person for handling complaints who is guilty by the complainant.

6.1 Complaints against DAS Certified Clients

Complaints regarding registered clients may be received by DAS Pakistan from the public i.e. customers of a DAS Pakistan registered client or from other sources, DAS Pakistan shall register the complaint in the complaints/ appeals register Form FMP05/01 and acknowledge receipt of the complaint and advise the registered client of the complaint, the MR will decide if an unannounced audit is necessary or not.

If so a competent auditor(s) shall be sent to the registered client's premises and after advising the client of the reason and an audit on the scope of the complaint shall be conducted, recorded and reported to the MR for investigation, review and appropriate actions.

If not the registered client will be asked to provide sufficient evidence to DAS to enable investigation, review and appropriate actions to be taken. The complainant and registered client shall be advised of the decision and actions to be taken and on completion of the actions by MR.

During any audits the audit team shall review the DAS Pakistan Registered organisation/sites complaints register for compliance to requirements as;

i) Complaints represent a source of information as to possible non-conformity. On receipt of a complaint the organisation should establish and where appropriate report on the root cause of the non-conformity, including any predetermining (or predisposing) factors within the management system.

ii) Such investigation enables the planning of corrective action, which should include measures for;

- notification of clients, public or appropriate authorities if required by regulation;

- Restoring conformity as quickly as practicable;
- Preventing recurrence;
- Evaluating and mitigating any adverse environmental effects;
- Assessing the effectiveness of the above measures.

The implementation of the corrective action should not be deemed to have been completed until the effectiveness of all the above has been demonstrated and verified and the necessary changes made in the procedures, documentation and records.

iii) Auditors should check that, where any such non-conformity or failure to meet the requirement is revealed, the organisation has conducted a root cause analysis of its own management system and taken appropriate corrective/preventive action and the complainant advised of the outcome by persons not previously involved in the complaint.

Any non-compliance shall incur a CAR.

7. Appeals Procedure:

Anyone has the right of appeal against any decision made by DAS Pakistan. The appeals process is independent of the certification process. The purpose of Appeals procedures is to assist DAS Pakistan with its aim to continually improve its working methods. All appeals will be thoroughly analyzed looking for the root cause of either individual or trends to ensure that appropriate Corrective and associated preventive actions are taken.

DAS with Impartiality committee members will strive to ensure that “customer perception” is always considered fact and the purpose of this procedure is to establish actual facts to enable an appropriate response to be made to the appellant and then appropriate action taken to prevent reoccurrence.

In the event of a Customer (organization/site) appealing against any decision made by the certification body, they shall be asked to send a letter / email with any supporting documentation to give formal written notice of their appeal.

Upon receipt of the written appeal it will be initially handled by that Person who is not Part of DAS Pakistan auditors and final approval will be made by impartiality committee.

(Note: The appellant must have given factual information giving grounds for appeal within the specified time scales agreed with DAS which will normally be one month or less depending on the severity of the complaint / appeal. Provided this criterion is met the appeal will be dealt with by the MR without delay).

In the case of an appeal relating to the actions of DAS Pakistan employee both the appellant and the relevant (Lead Auditor) shall be entitled to state their case in confidence. **The CEO of DAS Pakistan will do only endorsement signature and the results recorded on form FMP05/01 signed as closed out by MR. The independent nominee as nominated by IC shall write to the appellant informing them of the appeal panel decision through email.** If the appellant does not accept the decision then they may revoke the conditions of contract i.e., arbitration. All appeal information will be discussed at Management review meetings for review and to consider any other related corrective /preventive actions.

Submission, investigation and decision on appeals shall not result in any discriminatory actions against the appellant.

8. Records:

Record Number	Record Title:	Retention Time
FMP05/01	Complaints/Appeals Register	05 years

9. Revision History:

Issue #	Rev #	Date of Issue	Brief Description of change
01	00	10 th Oct, 2016	New Document
02	01	20 th May, 2020	QMR replaced with MR
03	02	20 th Aug, 2021	Changes in Appeal Clause 7